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TRANSMITTAL FORM  (to be used for all correspondence after initial fi	Examiner Name	10/816,081 04/01/2004 David B. Rozen		
Total Number of Pages in This Submission	61 Altorney Docket Number	Mirus.035.02.1		
	ENCLOSURES (Check all tha	it apply)		
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Add Terminal Disclaimer Request for Refund CD, Number of CD(s)  Remarks	to C App of A App (Ap Pro Sta	Group Deal Cor Appeals Deal Cor Peal Not Derietary tus Lette	osure(s) (please
SIGNAT	TURE OF APPLICANT, ATTORN	IEY, OR AGENT		
Firm or Individual name Mark K. Johnson Signature 02/08/2005				
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I hereby certify that this correspondence is be United States Postal Service with sufficient po Commissioner for Patents, P.O. Box 1450, Al	ostage as express mail in an envelope ad	dressed to:	eposited	d with the
Typed or printed name  Kirk Ekena				
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: David B. Rozema,	)
Darren Wakefield, Jon A. Wolff,	)
Vladimir Trubetskoy, Vladimir G. Budker,	)
James E. Hagstrom, Aaron G. Loomis,	)
Sean D. Monahan, Paul M. Slattum	)
Serial No.: 10/816,081	)
Filed: 4/1/2004	))
Group Art Unit:	)

For: Endosomolytic Polymers

#### **INFORMATIONAL STATEMENT**

Commissioner of Patents P.O. BOX 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Pursuant to 37 C.F.R. 1.56, applicant hereby calls to the attention of the Patent and Trademark Office the publications listed on the attached PTO 1449. This information statement supplements the previously filed information statement.

### **US** application publication

Publication No.	<u> Applicant</u>	Publication date
20030220264	Rozema et al.	11/27/2003

## **Published International Patent Applications**

Publication No.	Applicant	Publication date
WO 01/51092	Stayton et al.	07/19/2001

#### REFERENCES CITED

- 1. Murthy et al. "Design and synthesis of pH-responsive polymeric carriers that target uptake and enhance the intracellular delivery of oligonucleotides" Journal of Controlled Release, Vol. 89, no. 30 (2003), pp. 365-374.
- 2. Murthy, N. et al. "Bioinspired pH-responsive polymers for the intracellular delivery of biomolecular drugs." Bioconjugate Chemistry, Vol. 14, no. 2 (2003), pp. 412-419.
- 3. Naganawa, A. et al. "Synthetic Studies on Tautomycin Synthesis of 2,3-Disubstituted Maleic Anhydride Segment" Tetrahedron, Vol. 50 (1994), pp. 8969-8982.
- 4. Kircheis, R. et al. "Tumor targeting with surface-shielded ligand--polycation DNA complexes" Journal of Controlled Release, Vol. 72 (2001), pp. 165-170.
- Rozema, D. B. et al. "Endosomolysis by Masking of a Membrane-Active Agent (EMMA) for Cytoplasmic Release of Macromolecules" Bioconjugate Chemistry, Vol. 14 (2003), pp. 51-57.
- 6. Woodle, M. C. et al. "Sterically stabilized liposomes" Biochimica et Biophysica Acta, Biomembranes Vol. 1113 (1992), pp. 171-199.

Applicant respectfully requests that these publications be expressly considered during the prosecution of this application and made of record herein and appear among the 'References Cited' on any patent to issue herefrom.

Respectfully submitted,

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New Berlin, WI 53151-0644

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I hereby certify that this correspondence is being sent by United States Postal Service mail to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on: 2 | \( \gamma \), os

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Attorney Docket No.: Mirus.030.02.1	Serial No.: 10/816,081
FERM 20-1449	Applicant: David B. Rozema, Darren Wakefield, Jon A. Wolff, Vladimir Trubetskoy, Vladimir	Group:
2015	G. Budker, James E. Hagstrom, Aaron G. Loomis, Sean D. Monahan, Paul M. Slattum	Examiner:

U.S. Pre-Grant Publications

Eximir Intl	Seq	Publication No.	Issue Date	Patentee	Class	Sub Class	Filing Date
		20030220264	11/27/2003	Rozema et al.	514	12	05/23/2003

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		Publication	Country or		Sub	Sub <u>Transl.</u>	
	Document Number	Date	Patent Office	Class	Class	yes	no
	WO 01/51092	07/19/2001					

OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, etc.)

Murthy et al. "Design and synthesis of pH-responsive polymeric carriers that target
uptake and enhance the intracellular delivery of oligonucleotides" Journal of Controlled
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Examiner:	Date Considered:	